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Approved for use through 07/31/2006. U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Reperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number Application Number 10/829,611 Filing Date TRANSMITTAL April 22, 2004 First Named Inventor **FORM** Tsao et al. Art Unit 2874 Examiner Name Jennifer Doan (to be used for all correspondence after initial filing) Attorney Docket Number DEE-PT168 Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance Communication to TC Drawing(s) Fee Transmittal Form Appeal Communication to Board Licensing-related Papers of Appeals and Interferences Fee Attached Appeal Communication to TC X Petition (Appeal Notice, Brief, Reply Brief) Amendment/Reply Petition to Convert to a Proprietary Information After Final Provisional Application Power of Attorney, Revocation Status Letter Affidavits/declaration(s) Change of Correspondence Address Other Enclosure(s) (please Identify Terminal Disclaimer **Extension of Time Request** Request for Refund **Express Abandonment Request** CD, Number of CD(s) Information Disclosure Statement Landscape Table on CD Certified Copy of Priority Remarks OFFICIAL FACSIMILE Document(s) Reply to Missing Parts/ 11 PAGES SENT VIA FACSIMILE TO 571-273-8300. Incomplete Application Reply to Missing Parts PLEASE IMMEDIATELY DELIVER TO EXAMINER Jennifer Doan, GROUP under 37 CFR 1.52 or 1.53 ART UNIT 2874. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Name VOLPE AND KOENIG, P.C. Signature Printed name Darryl W. Shorter Date Reg. No. 47.942 August 15, 2007 CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being sent Via Facsimile (571-273-8300) addressed to: Examiner Jennifer Doan, Group Art Unit 2874, on the date shown below: Signature Date Typed or printed name August 15, 2007 Darryl W. Shorter This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including

process) an application. Confidentiality is govormed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer. U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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August 15, 2007

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Our File:

Date:

In the PATENT APPLICATION of:

Tsao et al.

Application No.: 10/829,611

Confirmation No.: 6851

Filed:

April 22, 2004

For: TUNABLE OPTICAL ADD-DROP MULTIPLEXER BASED ON SOI WAFER AND MANUFACTURING METHOD

THEREOF

Group:

2874

Examiner:

Jennifer Doan

REPLY PURSUANT TO 37 C.F.R. §1.111

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

This Reply is being timely filed in response to the Office Action dated May 16,

2007.

Please amend the application without prejudice or disclaimer as follows: